



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 11738.00039)

PATENT

*L. Parks*  
*10-18-02*  
*#3/Sup/1*  
*IDS*

In the Application of:

Bauhahn et al.

Serial No. 09/982,763

Filed: October 18, 2001

For: PATIENT DIRECTED THERAPY MANAGEMENT

Group Art Unit: 3762

Examiner: not assigned

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents  
Washington, DC 20231

RECEIVED  
OCT 15 2002

TECHNOLOGY CENTER R3700

Dear Sir:

Pursuant to 37 C.F.R. 1.97 and 1.98, the Applicants wish to make the following references of record in the above-identified application. This Supplemental Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. 1.56. Copies of the references cited below are enclosed. The references are also listed on the enclosed and completed PTO/SB/08A Form.

REFERENCES

U.S. Patent Documents

1. U.S. Patent No. 4,731,051, issued march 15, 1988;
2. U.S. Patent No. 5,370,672, issued December 6, 1994;
3. U.S. Patent No. 5,938,690, issued August 17, 1999;
4. U.S. Patent No. 6,052,624, issued April 18, 2000;
5. U.S. Patent No. 6,354,299, issued March 12, 2002;
6. U.S. Patent No. 6,381,496, issued April 30, 2002; and
7. U.S. Patent No. 6,393,325, issued May 21, 2002.

Foreign Patent Documents

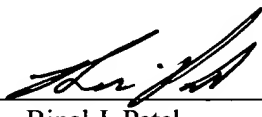
1. European Patent No. EP 0 811 395 A2, published December 10, 1997;
2. PCT Patent No. WO 96/01665, published January 25, 1996;
3. PCT Patent No. WO 01/39831 A1; published June 7, 2001; and
4. PCT Patent No. WO 01/93952 A1; published December 13, 2001.

Other Prior Art

1. PCT International Search Report, 8 pages.

Respectfully submitted,  
**BANNER & WITCOFF, LTD**

Dated: October 7, 2002

By:   
Binal J. Patel  
Reg. No. 42,065

PTO/SB/08A (10-01)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet	1	of	2
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**Complete if Known**

Application Number	09/982,763
Filing Date	October 18, 2001
First Named Inventor	Ruth Bauhahn
Group Art Unit	3762
Examiner Name	n/a
Attorney Docket Number	11738.00039

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>a</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		EP 0 811 395 A2	12/10/1997	Quest Medical, Inc.		
		WO 96/01665	01/25/1996	Medtronic, Inc.		
		WO 01/39831 A1	06/07/2001	Advanced Bionics Corporation		
		WO 01/93952 A1	12/13/2001	Advanced Neuromodulation Systems, Inc.		

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



10-08-02

3762

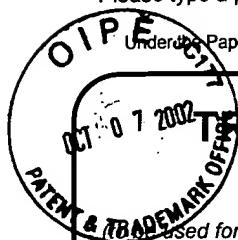
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TRANSMITTAL  
FORM

Use for all correspondence after initial filing)

Application Number	09/982,763	<b>RECEIVED</b> OCT 15 2002	
Filing Date	October 18, 2001		
First Named Inventor	Ruth Bauhahn	TECHNOLOGY CENTER R3700	
Group Art Unit	3762		
Examiner Name	n/a		
Total Number of Pages in This Submission	5	Attorney Docket Number	11738.00039

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Supplemental Information Disclosure Statement (2 pages)</b> <b>Form PTO/SB/08A (2 pages) with cited reference</b> <b>Return Receipt Postcard</b>
Remarks The Commissioner is hereby authorized to charge any deficiencies in payment or credit any overpayment to our Deposit Account 19-0733.		

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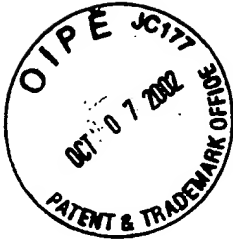
Firm or Individual name	Binal J. Patel
Signature	
Date	October 7, 2002

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**CERTIFICATE OF MAILING  
(PATENT APPLICATION)**

Express Mail No. EL949630633US

Deposited: October 7, 2002

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By: Xevel

Application of: Bauhahn et al.

Application No.: 09/982,763

Filing Date: October 18, 2001

Title: Patient Directed Therapy Management

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Transmitted herewith are the following documents:

- X Transmittal Form (1 page) in duplicate
- X Supplemental Information Disclosure Statement (2 pages)
- X Form PTO/SB/08A (2 pages) with cited references
- X Return Postcard

Attorney Case No.: 11738.00039